

07-01-05

PTO/SB/21 (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

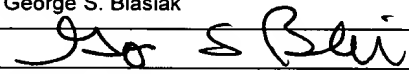
U.S. Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE


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2876

PTO TRANSMITTAL FORM JUN 30 2005 No be used for all correspondence after initial filing PATENT & TRADEMARK OFFICE	Application Number	09/385,597	
	Filing Date	August 30, 1999	
	First Named Inventor	James A. Parker	
	Group Art Unit	2876	
	Examiner Name	Uyen Chau N. Le	
Total Number of Pages in This Submission	11	Attorney Docket Number	283-205CIP

ENCLOSURES (check all that apply)		
<input checked="" type="checkbox"/> Fee Transmittal Form <input checked="" type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/ Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s)	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): One check for \$180 (IDS Fee), PTO/SB/08A Information Disclosure Statement (2 pgs.), PTO/SB/08B Information Disclosure Statement (2 pgs.), One copy of cited references AP-AV and BI-BJ, and return mail room postcard.
Remarks		The Commissioner is authorized to charge any additional fees to Deposit Account No. 50-0289.
Express Mail Label No. EV303759615US		

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT		
Firm and Individual name	Wall Marjama & Bilinski LLP George S. Blasiak	
Signature		
Date	June 30, 2005	
	Reg. No. 37,283	

CERTIFICATE OF MAILING			
I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as express mail (Express Mail Label No. EV303759615US) in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date: June 30, 2005			
Typed or printed name	Jeannine C. Schirripa		
Signature		Date	June 30, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

Effective on 12/08/2004.
Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).

FREE TRANSMITTAL For FY 2005

☐ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT \$180.00

Address: M59 Label EV303759615US

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit Card ☐ Money Order ☐ None ☐ Other (please identify): _____

☒ Deposit Account Deposit Account Number: 50-0289 Deposit Account Name: Wall Marjama & Bilinski LLP

For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☐ Charge fee(s) indicated below, except for the filing fee

☒ Charge any additional fee(s) or underpayments ☒ Credit any overpayments of fee(s) under 37 CFR 1.16 and 1.17

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

FEE CALCULATION

1. BASIC FILING, SEARCH, AND EXAMINATION FEES

Application Type	FILING FEES		SEARCH FEES		EXAMINATION FEES		Fees Paid (\$)
	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	Fee (\$)	Small Entity Fee (\$)	
Utility	300	150	500	250	200	100	
Design	200	100	100	50	130	65	
Plant	200	100	300	150	160	80	
Reissue	300	150	500	250	600	300	
Provisional	200	100	0	0	0	0	

2. EXCESS CLAIM FEES

Fee Description	Fee (\$)	Small Entity Fee (\$)
Each claim over 20 or, for Reissues, each claim over 20 and more than in the original patent	50	25
Each independent claim over 3 or, for Reissues, each independent claim more than in the original patent	200	100
Multiple dependent claims	360	180

Total Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	Multiple Dependent Claims
- 20 or HP =	x	=		Fee (\$)
HP= highest paid number of total claims paid for, if greater than 20				
Indep. Claims	Extra Claims	Fee (\$)	Fee Paid (\$)	
- 3 or HP =	x	=		
HP =highest number of independent claims paid for, if greater than 3				

3. APPLICATION SIZE FEE

If the specification and drawings exceed 100 sheets of paper, the application size fee due is \$250 (\$125 for small entity) for each additional 50 sheets or fraction thereof. See 35 U.S.C. 41(a) (1)(G) and 37 CFR 1.16(s).

Total Sheets	Extra Sheets	Number of each additional 50 or fraction thereof	Fee (\$)	Fee Paid (\$)
- 100 =	/ 50 =	(round up to a whole number) x	=	

4. OTHER FEES

	Fees Paid (\$)
Non-English Specification, \$130 fee (no small entity discount)	
Other: Information Disclosure Statement Fee	180.00

SUBMITTED BY

Signature	<i>George S. Blasiak</i>	Registration No. 27,283	Telephone 315-425-9000
Name (Print/Type)	George S. Blasiak	(Attorney/Agent)	Date June 30, 2005

Complete if Known

Application Number: 09/385,597

Filing Date: August 30, 1999

First Named Inventor: James A. Parker

Examiner Name: Uyen Chau N. Le

Art Unit: 2876

Attorney Docket No.: 283-205CIP

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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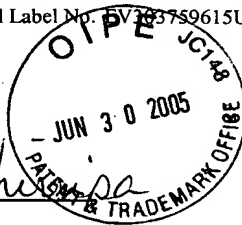
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PATENT
Express Mail Label No. EV303759615US
Attorney Docket No.:283-205CIP

On June 30, 2005

WALL MARJAMA & BILINSKI LLP

By: Jeannine C. Schirripa
Jeannine C. Schirripa



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

James A. Parker, et al.

Application No.: 09/385,597

Filed: August 30, 1999

For: Optical Reader System Comprising
Local Host Processor And Optical Reader

Examiner: Le, Uyen Chau N.

Art Unit: 2876

INFORMATION DISCLOSURE
STATEMENT UNDER 37 CFR §1.97 and
§1.98

Mail Stop: Amendment
Commissioner for Patents
P. O. Box 1450
Alexandria, VA 22313-1450

Sir:

The references cited on attached forms PTO/SB/08A and PTO/SB/08B are being called to the attention of the Examiner. Copies of references AP-AV and BI-BJ are enclosed. References AA-AV are references of record in commonly assigned U.S. Patent No. 5,723,853 while references AW-BH are references of record in commonly assigned U.S. Patent No. 5,942,741. Also submitted with the present Information Disclosure Statement is reference BI, a report summarizing references cited by the applicants or the Examiner in applications of which priority is claimed. Examiners have a duty to consider art references of parent applications. See

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180.00 DP

37 C.F.R. §1.98(d); MPEP §609. The Examiner is respectfully requested to initial the box adjacent to the BI reference listing to indicate that the Examiner has considered the references of record in applications of which priority is claimed under 35 U.S.C. §120. Applicants also submit reference BJ, a report categorizing certain submitted references into various categories. The report attached as reference BJ is intended to assist the Examiner in the Examiner's review of the significant number of references submitted. Applicants will also supply, at the Examiner's request, a computer accessible file that facilitates computer text searching of U.S. patent references that have been submitted.

It is respectfully requested that the cited references be expressly considered during the prosecution of this application, and the references be made of record therein and appear among the "references cited" on any patent to issue therefrom.

As provided for by 37 C.F.R. §1.97(h), no inference should be made that the information and references cited are material to patentability. Also, no inference should be made that a reference is prior art merely because it is included in this statement. Under 37 C.F.R. §1.97(g), the submission of an information disclosure statement should not be considered as representation that a search has been made.

Applicants believe that a fee is required for submission of this statement. A check in the amount of \$180.00 is enclosed.

The Commissioner is hereby authorized to charge any additional fees associated with this communication or credit any overpayment to Deposit Account No. 50-0289

Respectfully submitted,

WALL MARJAMA & BILINSKI LLP

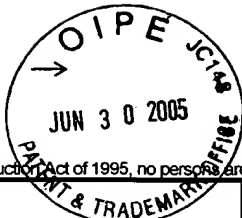
Date: June 30, 2005



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Substitute for form 1449A/PTO

**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**
Express Mail EV303759615US

(use as many sheets as necessary)

Sheet 1 of 1

Complete if Known

Application Number	09/385,597
Filing Date	August 30, 1999
First Named Inventor	James A. Parker, et al.
Art Unit	2876
Examiner Name	Le, Uyen Chau N.
Attorney Docket Number	283-205CIP

U.S. PATENT DOCUMENTS

Examiner	Cite No. ¹	Document Number		Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number	Kind Code ² (if known)			
	AA	US-4,075,461		02-1978	Wu et al.	
	AB	US-4,251,798		02-1981	Swartz et al.	
	AC	US-4,289,957		09-1981	Neyroud et al.	
	AD	US-4,782,220		11-1988	Shuren	
	AE	US-4,825,057		04-1989	Swartz et al.	
	AF	US-4,835,713		05-1989	Pastor	
	AG	US-4,893,333		01-1990	Baran et al.	
	AH	US-4,973,829		11-1990	Ishida et al.	
	AI	US-5,159,635		10-1992	Wang	
	AJ	US-5,196,686		03-1993	Leister	
	AK	US-5,208,449		05-1993	Eastman et al.	
	AL	US-5,241,164		08-1993	Pavlidis et al.	
	AM	US-5,243,655		09-1993	Wang	
	AN	US-5,247,166		09-1993	Gannon et al.	
	AO	US-5,291,564		03-1994	Shah et al.	

FOREIGN PATENT DOCUMENTS

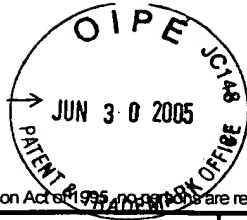
Examiner Initials*	Cite No. ¹	Foreign Patent Document			Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T ⁶
		Country Code ³	Number ⁴	Kind Code ⁵ (if known)				
	AP	EP	0 385 478 A2		09-05-1990	Symbol Technologies, Inc.		<input type="checkbox"/>
	AQ	EP	0 385 478 A3		09-05-1990	Symbol Technologies, Inc.		<input type="checkbox"/>
	AR	EP	0 561 334 A2		09-22-1993	Symbol Technologies, Inc.		<input type="checkbox"/>
	AS	EP	0 571 892 A2		12-01-1993	United Parcel Service of America, Inc.		<input type="checkbox"/>
	AT	EP	0 584 559 A2		03-02-1994	United Parcel Service of America, Inc.		

Examiner
SignatureDate
Considered

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231



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Sheet 1 of 1

Complete if Known

Application Number	09/385,597
Filing Date	August 30, 1999
First Named Inventor	James A. Parker, et al.
Art Unit	2876
Examiner Name	Le, Uyen Chau N.
Attorney Docket Number	283-205CIP

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	AU	WELCH ALLYN, Hand Held CCD Scanner/Decoder, SCANTEAM 3000 Instant Interface Series, July 1994, 2pgs.	
	AV	SYMBOL EXPRESS, 1995 Product Catalog, January 1995, 4pgs.	

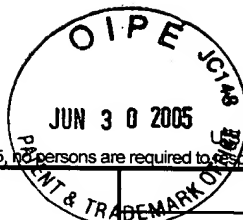
Examiner Signature		Date Considered	
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached.

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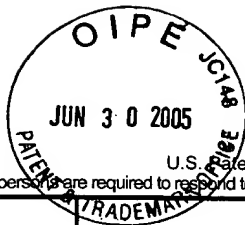
Sheet	1	of	1
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Application Number	09/385,597
Filing Date	August 30, 1999
First Named Inventor	James A. Parker, et al.
Art Unit	2876
Examiner Name	Le, Uyen Chau N.
Attorney Docket Number	283-205CIP

[illegible]Date
Considered

¹ Applicant's unique citation designation number (optional). ² Kind Codes of U.S. Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.

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Application Number	09/385,597
Filing Date	August 30, 1999
First Named Inventor	James A. Parker, et al.
Art Unit	2876
Examiner Name	Le, Uyen Chau N.
Attorney Docket Number	283-205CIP

OTHER PRIOR ART -- NON PATENT LITERATURE DOCUMENTS

Examiner Initials *	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
	BI	REPORT BY APPLICANTS, Report summarizing references of record in applications of which priority is claimed (Application No. 08/839,020 and Application No. 08/697,913), June 2005	
	BJ	REPORT BY APPLICANTS, Report categorizing references submitted by applicants, June, 2005.	

Examiner
SignatureDate
Considered

* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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